

IAN SHAW

PROFILE SUMMARY

Ian has over 30 years experience in Financial Services, in leadership and project management roles. He is a Chartered Accountant, registered in Australia and South Africa, and a Chartered Secretary. He holds B.Com, B.Acc.Sci. (Hons.) and B.Com (Hons.) Business Data Processing degrees and Graduate Diplomas in Applied Finance and Investment, from the Financial Services Institute of Australasia, and Applied Corporate Governance, from Chartered Secretaries Australia.

PROFESSIONAL SUMMARY

Ian's specialty is in Financial Services, concentrating on funds management, having held several senior roles as CFO, Financial Manager and Investment Operations Manager. Since 2004, Ian has been providing specialised consulting services, managing a number of mission critical projects, including remediation of unit pricing errors, compliance, risk framework and corporate governance reviews and transitions of funds.

Projects across major corporations include; Perpetual Trustees Australia Limited, Commonwealth Bank Colonial Funds Management, Challenger Funds Management Limited, Goldman Sachs Australia, RBC-Dexia Australia Limited, Clearview Retirement Funds Management, BNP Paribas, and Citigroup USA – Portland, Maine.

KEY STRENGTHS & FOCUS

- Process improvement and controls implementation in financial operations
- Project management from concept to delivery of the project, to delivery & handover to BAU.
- Project rescue and refocus of projects that have lost direction.
- Good understanding of Funds Management Back-Office functions, particularly unit pricing.
- Good understanding of Funds Management Compliance and risk management.
- Hands-on organisation and management skills
- Good communicator with recommendations from numerous stakeholders

KEY ACCOMPLISHMENTS

- Successfully managed three mission critical unit pricing remediation projects resulting in adjustments to over 100,000 Superannuation fund members.
- Managed & became involved in Hands-on analysis and review of compliance requirements for over 200 investment funds. Resulted in recommendations for process improvement strategy for new investment mandates.
- Managed projects for the transitions of (approximately \$12Bn), asset custody, unit pricing and fund accounting/ administration back office operations functions from fund managers to custodians.
- Developed Australian Fund regulatory compliance framework for USA based investment fund compliance specialist.
- Reviewed fund operations, year-end processes, succession planning, risk management framework, reporting processes, internal controls, and compliance for a fund manager with \$1.6Bn under management.
- Reviewed and implemented upgraded internal controls, cash flow and performance reports for a medium size manufacturing business (T/O \$30m). Successfully restructured the business finances and provided control checks and corporate governance recommendations for the future.
- Provided forensic accounting services to a listed fund manager for the collection of assets of a mortgage fund, resulting in full asset recovery.